JC06 Rec'd PCT/PTO 23 SEP 2005

**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Tao et al.	)	I hereby certify that this paper is being
Serial No.: 10/541,803	)	deposited with the United States Postal Service, first class postage prepaid, addressed
Filed: January 7, 2004	<b>)</b>	to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
For: 3-DIMENSIONAL HIGH PERFORMANCE HEAT SINKS	)	September 21, 2005
Group Art Unit: To be assigned	<b>)</b>	He man
Examiner: To be assigned	) )	Gregory C. Mayer Reg. No. 38,238

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith for consideration by the examiner is a Form PTO-1449 listing art to be made of record in this application. Copies of the cited references are enclosed.

This Information Disclosure Statement is being submitted before receipt of a first Office Action on the merits, and within three months of the filing of this application, and consequently should be considered by the Patent Office without payment of a fee. See 37 C.F.R. §1.97(b).

This statement is not to be interpreted as a representation that the cited documents are material, that an exhaustive search has been conducted, or that no other relevant information exists. Nor shall the citation of any document herein be construed *per se* as a representation that such document is prior art. Moreover, Applicant understands the Examiner will make an independent evaluation of the cited documents.

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The undersigned hereby certifies that each item contained in this Information

Disclosure Statement was cited in any communication from a foreign patent office in a

counterpart foreign application not more than three months prior to the filing of this

Supplemental Information Disclosure Statement. Furthermore, in accordance with 37 CFR

1.704(d), Applicant notes that to our knowledge this communication was not received by any individual designated in 1.156(c) more than thirty days prior to the filing of this statement.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 13-2855, under Order No. 06005/39598. A duplicate copy of this paper is enclosed.

Entry and consideration of the identified information is solicited.

Respectfully submitted,

MARSHALL, GERSTEIN & BORUN LLP

September 21, 2005

Gregory C. Mayer

Reg. No. 38,238

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Form PTO-1449 (Modified)  U.S. Department of Commerce Patent and Trademark Office				Atty. Docket No. 29171/39318A		Serial No. 10/541,803	
INFORMA	Applicant Tao et a	Applicant Tao et al.					
INFORMATION DISCLOSURE STATEMENT			Filing Date	Filing Date January 7, 2004		Group	
		J.S. PATENT D					
*Examiner Initials	Document Number	Issue Date	Name	Class	Subclass	Filing Date if Appropriate	
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FOREIGN PATENT DOCUMENTS									
*Examiner Initials		Document Number	Publication Date	Country	Class	Subclass	Translation		
							Yes	No	
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)					
/AF/	International Preliminary Report on Patentability dated July 8, 2005	-			
/AF/	Written Opinion dated July 8, 2005				

Examiner /Allen Flanigan/	Date Considered 03/01/2010			
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.				